

TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371**49506.0005**

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

INTERNATIONAL APPLICATION NO.
PCT/US05/02229INTERNATIONAL FILING DATE
19 January 2005PRIORITY DATE CLAIMED
20 January 2004

TITLE OF INVENTION

NOVEL SIRTUIN ACTIVATING COMPOUNDS AND METHODS FOR MAKING THE SAME

APPLICANT(S) FOR DO/EO/US

Brigham Young University Technology Transfer Office

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
4. ☒ The US has been elected (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ has been communicated by the International Bureau.
 - c. ☒ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
 - a. ☐ is attached hereto.
 - b. ☒ has been previously submitted under (35 U.S.C. 154(d)(4)).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ have been communicated by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☐ have not been made and will not be made.
8. ☐ An English language translation of the amendment to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)).
9. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11 to 20 below concern document(s) or information included:

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A preliminary amendment.
14. ☒ An Application Data Sheet under 37 CFR 1.76.
15. ☐ A substitute specification.
16. ☐ A power of attorney and/or change of address letter.
17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825.
18. ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4).
19. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).

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|---|---|---|
| U.S. APPLICATION NO. (if known, see 37 CFR 1/5) | INTERNATIONAL APPLICATION NO PCT/US05/02229 | ATTORNEY'S DOCKET NUMBER 49506.0005 |
| 20. Other Items or information | | |
| The following fees are submitted: | | CALCULATIONS PTO USE ONLY |
| 21. <input checked="" type="checkbox"/> Basic national fee (37 CFR 1.492(a)) \$ 300.00 | | \$300.00 |
| 22. <input checked="" type="checkbox"/> Examination fee (37 CFR 1.492(c)) | | \$200.00 |
| If the written opinion prepared by ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4) \$0 | | |
| All other situations \$200 | | |
| 23. <input checked="" type="checkbox"/> Search fee (37 CFR 1.492(b)) | | \$100.00 |
| If the written opinion of the ISA/US or the International preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4) \$0 | | |
| Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Search Authority \$100 | | |
| International Search Report prepared by an ISA other than the US and provided to the Office or previously communicated to the US by the IB \$400 | | |
| All other situations \$500 | | |
| TOTAL OF 21, 22 and 23 = | | \$600.00 |
| <input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing in compliance with 37 CFR 1.821(c) or (3) or computer program listing in an electronic medium) (37 CFR 1.492(j)). | | |
| Total Sheets | Extra Sheets | RATE |
| 95 - 100 = | 0/50 = | × \$250 |
| | | \$0 |
| Surcharge of \$130.00 for furnishing any of the search fee, examination fee, or the oath or declaration after the date of commencement of the national stage (37 CFR 1.492(h)). | | \$130.00 |
| CLAIMS | NUMBER FILED | NUMBER EXTRA |
| Total claims | 22 - 20 = | 2 |
| Independent claims | 3 - 3 = | 0 |
| MULTIPLE DEPENDENT CLAIMS(S) (if applicable) | | + \$360 |
| TOTAL OF ABOVE CALCULATIONS = | | \$830.00 |
| <input checked="" type="checkbox"/> A applicant claims small entity status. See 37 CFR 1.27. The fees are reduced by ½. | | \$415.00 |
| SUBTOTAL = | | \$415.00 |
| Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)). | | \$0 |
| TOTAL NATIONAL FEE = | | \$415.00 |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property | | \$0 |
| TOTAL FEES ENCLOSED = | | \$415.00 |
| | | Amount to be refunded: \$0 |
| | | charged: \$415.00 |

- a. ☐ A check in the amount of \$_____ to cover the above fees is enclosed.
- b. ☒ Please charge my Deposit Account No. 08-2623 in the amount of \$415.00 to cover the above fees.
A duplicate copy of this sheet is enclosed.
- c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 08-2623. A duplicate copy of this sheet is enclosed.
- d. ☐ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:



SIGNATURE

Ryan L. Marshall

NAME

47.770

REGISTRATION NUMBER